



## MANUAL AND WORKSHOP ON THE RISK OF MONEY-LAUNDERING THROUGH FINANCIAL INSTRUMENTS

### OVERVIEW

GPML developed the Manual on Risk of Money-Laundering through Financial Instruments, Users and Employees of Financial Institutions (Manual on Financial Instruments) to increase practitioners' awareness and knowledge of economic, financing and accounting issues relating to anti-money-laundering (AML), and to enhance their capacity to effectively conduct investigations, prosecutions and adjudications of money-laundering cases.

An essential reference guide for law enforcement officers, prosecutors and judges working on money-laundering cases and in the wider field of AML, this manual provides essential information on key financial instruments and services, as well as the underlying AML documentation and red flag indicators for money-laundering that practitioners need to know to conduct their day-to-day casework. This manual is also a relevant tool for financial regulatory bodies, financial intelligence units and private sector actors engaged in AML activities.

### DELIVERY

The Manual on Financial Instruments can be provided as a stand-alone resource for use by practitioners dealing with AML issues. Additionally, GPML can provide the manual in association with delivery of a one-day workshop on the risks of money-laundering through financial products and services. As part of the workshop, training can also be provided on the possible misuse of financial instruments.

The target audience for the workshop includes police, prosecutors, FIU staff, financial regulatory and compliance officers, as well as staff of private sector financial institutions.

The participation of private sector actors in the workshop is a proven way to successfully bridge gaps and build connectivity between the public and private sectors in the fight against money-laundering. In a joint setting, both parties learn about the vulnerabilities of the financial instruments and how these vulnerabilities are abused by criminals to launder money. They are able to share best practices, working methodologies and their knowledge of indications, money-laundering techniques, financial products, and associated documentation, thus ensuring a holistic approach to countering money-laundering.

### OUTCOMES

- Improved understanding of financial instruments and underlying documentation and records;
- Increased awareness of the risk of money-laundering through the abuse of financial instruments and services;
- Knowledge of the red flag indicators of misuse of financial instruments;
- Access to comprehensive reference materials and enhanced research capabilities to support investigations and prosecutions;
- Expanded network of expert practitioners working in all areas related to AML.

## ABOUT GPML

The Global Programme against Money Laundering, Proceeds of Crime and the Financing of Terrorism (GPML) assists Member States in implementing the various anti-money laundering and counter-finance of terrorism (AML/CFT) provisions contained in the 1988 United Nations Drug Convention, the United Nations Convention against Transnational Organized Crime, the United Nations Convention against Corruption and relevant United Nations resolutions, such as Security Council Resolution 1373 (2001), as well as other international AML/CFT requirements.

GPML's primary goal is to assist Member States via their legal, financial, law enforcement and judicial authorities in developing effective and comprehensive domestic AML/CFT legal and regulatory frameworks, as well as the institutional infrastructures and practitioner skills needed to implement them. GPML is further committed to promoting national coordination and regional and international cooperation on issues concerning money-laundering and terrorism financing.

GPML fulfills its mandate principally through technical cooperation and capacity-building for requesting Member States, the provision of in-depth training to national administrations and private sector actors dealing with AML/CFT issues, and the development and sharing of AML/CFT information resources, good practices and databases.

GPML has initiated specific products to assist Member States. These deliverables aim to raise awareness of the risks of money-laundering and terrorism financing, build institutional capacities and provide key technical assistance and training—based on current good practice and operational expertise—at the national and regional level. A series of GPML Facts Sheets provide information on the key products offered by GPML.

